

TRANSMISSION REQUEST FORM – Single/Sole Holder (Annexure-7.1)

(Requisition Form For Transmission Incase of Death of Single/Sole Holder)

Profitmart Securities Private Limited

Registered Office Address: Unit No 213. Opal Square, Plot No C-1, Thane – East, Thane – 400604, Maharashtra, IN.

CDSL DP ID	83600	Date	DD / MM / 20YY
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To, Profitmart Securities Private Limited

Dear Sir / Madam,

I/we, Nominee(s) / Successor/ Guardian of the successor or nominee(s) (in case the claimant is a Minor- Date of Birth of the minor*) Relationship with the minor _____ request you to transmit the following securities due to the death of the sole account holder. Original Death Certificate / Copy of Death Certificate (duly notarized / attested under seal by a Gazetted Officer) is attached herewith.

Details of the Deceased BO (Beneficiary Owner)

Trading Code		DP ID	1	2	0	8	3	6	0	0	Client ID								
Name of the Deceased Sole Holder																			
Date of the Deceased Sole Holder																			




Kindly transmit all securities in the deceased BO's account mentioned above to the BO account mentioned below details of the Successor (s)

Sr. No.	Name of the Successor (s)/Nominee / Legal Heir/Successor to the Estate of the deceased / Administrator of the Estate of the deceased	DP ID	Client ID
1.			
2.			
3.			

Details of Transmission

Sr. No.	Name of the Security	ISIN	Quantity of securities to be transmitted	Percentage (%)
1.				
2.				
3.				
4.				

Attach an annexure duly signed by the Nominee(s)/ Successor / Guardian of the successor or nominee(s) (in case of Minor), if the space above is insufficient (Nominees / Successor / Guardian of successor or nominee(s) (in case of Minor)

	Nominee(1) Successor/Guardian of successor/Nominee	Nominee(2)Successor/Guardian of successor/Nominee	Nominee(3) Successor/Guardian of successor/Nominee
Name			
Signature(s)			

ACKNOWLEDGEMENT RECEIPT

We hereby acknowledge receipt of the instructions for transmission of securities from the deceased BO's account to the account of the Nominee(s) / Successor / Guardian of the successor or nominee(s) (in case of Minor), as per details given on the transmission form.

DP BO ID	1	2	0	8	3	6	0	0						Date	DD / MM / YYYY
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Successor BO Name(s)		
First / Sole Holder	Second Holder	Third Holder

Documents Submitted :	
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Instructions to Account Holder(s)

1. Please send the physical request form to the following address of Profitmart Securities Private Limited:

Address:- Profitmart, Office No.3, 1st Floor, B3, Cerebrum IT Park, Kalyani Nagar, Pune – 411014, MH, INDIA.

For, Profitmart Securities Private Limited

Seal & Signature

Bond of Indemnity

To,
PROFITMART SECURITIES PVT. LTD.
OFFICE NO 3, 1st FLOOR, CELEBRUM IT PARK
ADOVE DMART, KALYANI NAGAR, PUNE - 411014

Dear Sirs,

Sub: Transmission of securities standing in the name of
Late Mr./Mrs. _____

I/We do hereby solemnly affirm and state on oath as follows:

Mr./Mrs. _____ the deceased, was holding a Client account no. _____ with _____ a Depository Participant having DP ID _____. The said deceased BO was holding the following securities:

ISIN	Name of Company	Number of securities

That the aforesaid deceased holder died intestate on _____, without registering any nominee, leaving behind him/her the following persons as the only surviving legal heirs, according to the laws of intestate succession applicable to him/her by which he/she was governed at the time of his/her death.

Name of the Legal Heir(s)/Claimant(s)	Address and contact details	Age	Relationship with the Deceased

1			
2			
3			
4			

OR

That the aforesaid deceased holder died on _____ without registering any nominee, leaving behind him/her the following persons as the only surviving legal heirs, according to the laws of testamentary succession.

Name of the Legal Heir(s)/Claimant(s)	Address and contact details	Age	Relationship with the Deceased
1			
2			
3			
4			

Therefore, I/We, the Legal Heir(s)/Claimant(s) and deponent(s) herein has/have , approached you with a request to transfer the aforesaid securities in the name of the undersigned Mr. / Mrs. / Ms. _____ on my/our behalf without insisting on the production of a Succession Certificate/ Probate of Will / Letter of Administration or an Order of the Court of competent jurisdiction and you have kindly agreed to do so on my/our executing an indemnity as is herein contained and on relying on the information herein given by us believing the same to be true.

In consideration therefore of my/our request to transfer/transmit the above said securities to the name of the undersigned Mr. /Ms. [Name(s) of the legal heir(s)/claimant(s)] #, _____ without insisting on production of a Succession Certificate / Probate of Will / Letter of Administration or any Court order.

I / we hereby jointly and severally agree and undertake to indemnify and keep indemnified, saved,

defended, harmless you and your successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which you may suffer and/or incur by reason of your, at my/our request, transferring the said securities as herein above mentioned, to the undersigned_____without insisting on production of a Succession Certificate/ Probate of Will / Letter of Administration or an Order of the Court of competent jurisdiction.

IN WITNESS WHEREOF THE said I Mr. /Ms _____(Name and signature of the

And 2) Mr. /Ms. _____Name and signature of the witness #,

have here unto set their respective hands and seals this _____day of _____of _____.Signed and delivered by the said legal heir/s.

Sr.No	Name the Legal Heirs	Signature of the Legal Heirs
1.		
2.		
3.		

Name of the deceased security holder (#) Name of the claimant/s

Signed before me

Date: _____

Place: _____

(Signature and Seal of Magistrate/Notary)

Full Name and Address of Magistrate /Notary:

Name : _____

Address : _____

PIN _____

Registration No : _____

Use space below to affix:

<p>Notarial / Court Fee Stamp</p>	<p><u>Official Seal of Magistrate / Notary</u></p>
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Affidavit

Individual Affidavits to be given by ALL the Legal Heirs OR Legal Heirs named in Succession Certificate*/ Probate of Will*/ Will*/ Letter of Administration*/ Legal Heirship Certificate*(or its equivalent certificate)*/Court Decree*

(For Transmission of securities on death of Sole Holder where NO NOMINATION has been registered)

Each Deponent (legal heir) shall sign separate Affidavits.

I, _____
son/daughter/spouse of _____
residing at _____
_____ do hereby
solemnly affirm and state on oath as under: -

That Mr. / Mrs. _____ @ the deceased was holding a
Client Account No. _____ with _____ a
Depository Participant having DP ID _____. The said deceased was holding the
following securities:

ISIN	Name of Company	No. of securities

- That the aforesaid deceased holder died intestate leaving behind him/her, the following persons as the only surviving heirs as per the Succession Certificate/ Legal Heirship Certificate (or its equivalent certificate) /Court Decree dated _____ /according to the Law of Intestate Succession by which he/she was governed at the time of his/her death and without registering any nominee. ***

OR

- That the aforesaid deceased holder died leaving behind the following persons as the legatees as per the Will/ Probated Will/ Letter of**

Administration dated _____ and without registering any nominee. *

A copy of the Succession Certificate*/ Probate of Will*/ Will*/ Letter of Administration*/ Legal Heirship Certificate*(or its equivalent certificate)*/ Court Decree* is attached herewith

	Name of the Legal Heir(s)	Address and contact details	Age	Relationship with the deceased
1				
2				
3				
4				

1. That among the aforesaid legal heirs Master / Kumari # _____ aged _____ years is a minor and he / she is being represented by his / her father/mother/ legal guardian Mr. / Mrs. _____.
2. That all the legal heirs of my deceased _____ have applied to _____ (**DP name**) to register the aforesaid securities in my/our individual/joint beneficial owner account and have executed a Letter of Indemnity in favour of the Participant/CDSL holding the Participant / CDSL indemnified against any loss, cost, expenses or damages which may be caused to them in consequence of any claim which may be made by or on behalf of any person claiming any interest in the said shares.

Signature of DEPONENT

VERIFICATION

I hereby solemnly affirm and state that what is stated herein above is true and correct and nothing has been concealed therein and that I am competent to contract and entitled to rights and benefits of the above mentioned securities of the deceased.

Solemnly affirmed at _____ on the _____ day of _____ of _____

Signature of the Deponent

Signed before me

Full Name and Address of Magistrate / Notary

Signed in the presence of
Name : _____

Address : _____

City _____ Pin _____

Registration No: _____

**(Signature of Notary \$with
Official Seal of Notary)**

* **strikeout whichever is not applicable**
= **Name of the legal heir** @ = **Name of the deceased security holder**
\$ = **Name of the Guardian**

Use space below to affix:

Notarial Stamps	Official Seal of Notary

. .
2. .

Note: To be executed in the presence of a Public Notary / Gazetted Officer

[To be submitted in non-judicial stamp paper of appropriate value] No-
Objection Certificate from the Legal Heir(s)

Format of NOC from other Legal Heir(s) for Transmission of Securities in favour of
the Claimant(s) wherein the Sole Holder is deceased and **NO NOMINATION has
been registered**

DECLARATION

I/We, the legal heir(s) of late Mr. / Ms _____ (name of the
deceased holder) declare as follows –

(i) That the above named deceased holder was holding the following securities in
his / her name as single holder Account No. _____ with
_____ a Depository Participant having
DP ID _____.

Name of the Company	ISIN	No. of securities held
1)		
2)		
3)		

(ii) That the deceased had died intestate on **DD / MM / YYYY** .and without
registering any nominee.

(iii) That the following Claimant(s) has/have applied for the transmission of the
aforesaid securities:

Name of the Claimant(s)	Address & contact details	Age	Relationship with the deceased
1)			
2)			
3)			

(iv) That I / We are the legal heir(s) of the deceased holder, apart from the
Claimant(s) who has/ have applied for transmission of the aforesaid securities and our
details are as follows:

Name of the Legal Heir(s)	Address and contact details	Age	Relationship with the deceased
1)			
2)			
3)			

(v) I / we hereby declare that, I / we do not desire to make any claim in respect of the title to the aforesaid securities held by the deceased and I / we hereby wilfully relinquish & renounce all my /our rights in respect of the aforesaid securities and shall have no legal claim upon said securities in future.

(vi) Accordingly, I / we declare that I / we have **NO OBJECTION WHATSOEVER** in (Name of the Company) transmitting the aforesaid securities in favour of the Claimant(s) Mr. / Ms. _____

(vii) I / we hereby state that whatever is stated herein above are true to the best of my/our knowledge and nothing has been concealed therein.

Name(s) and Signature(s) of Legal Heir(s) who are Non – Claimant(s):

- 1) _____
- 2) _____
- 3) _____

VERIFICATION

We hereby solemnly affirm and state that what is stated herein above is true to our knowledge and nothing has been concealed therein and that we are competent to contract and entitled to rights and benefits of the above mentioned securities.

Solemnly affirmed at _____

Deponent(s) (1) _____ (2) _____ (3) _____