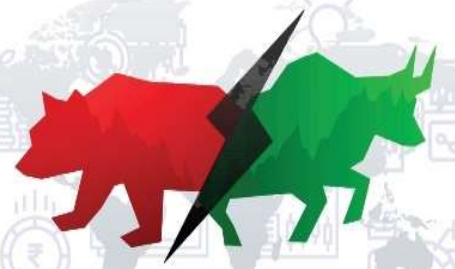




PROFITMART

**INDIVIDUAL
ACCOUNT OPENING FORM
EQUITY & COMMODITY**



PROFITMART SECURITIES PVT. LTD.

Branch Name		Form No	
Branch Code		DP ID	
AP Name		Client Code	
AP Code		Client Name	

Version



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	C. Details are required for adhering to "Know Your Client" for opening a DEMAT Account		
RIGHTS AND OBLIGATIONS	Document stating the Rights & Obligations of stock broker/trading member, sub-broker/Authorized Person and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology-based trading). Rights and Obligations BO-DP	Separate Copy For Client	
RISK DISCLOSURE DOCUMENT (RDD)	Document detailing risks associated with dealing in the securities market.		
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Proprietary Trading Disclosure			
<p>DISCLOSURE INTERMSOFSEBI CIRCULAR NO. SEBI/MRD/SE/CIR-42/2003DATEDNOVEMBER19, 2003 PROFITMART SECURITIES PRIVATE LIMITED. caters to Institutional, Corporate, High networth and other retail clients through membership of various exchanges.</p> <p>Profitmart Securities Private Limited. besides doing client based business also does its own investment and/or trading.</p> <p style="text-align: right;">“Proprietary Trading Disclosure noted”</p> <p>Client Name : Signature of Client : (1/33)</p>			
FATCA / CRS Declaration / Self Certification For Individual			
	First/Sole Holder	Second Holder	Third Holder
Are you US Person	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Specify country of residence for tax purpose (Tax Residency)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Specify country of citizenship	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Signature (2/33)			



KYC CHECKLIST

Acceptable Documents should be clearly visible (Copies of all documents to be self attested)

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

PAN Card	Account Holder & Joint Holder's (If any)
Photograph	One Colored Front Face Photograph on photographic Paper (Passport Size)
Additional Proof of Identity (Any One) (If Pan card not visible OR Pan card sign & signature in KYC form are different)	1. Unique identification number (UID) (Aadhaar)
	2. Valid Passport and Driving License (Name, Address & Photo page)
	3. Voter ID (front and back)
	4. PAN Card
	5. NREGA Job Card
	6. Other (Pls. Specify)
Proof of Address (Any One) Permanent / Correspondence	1. Valid Passport and Driving License (Name, Address & Photo page)
	2. Voter ID (front and back)
	3. Unique identification number (UID) (Aadhaar)
	4. Bank Statement/Passbook (not more than 2 months old)
	5. Banker's Verification Letter
	6. Electricity or Resident Landline Tel. Bill (not more than 2 months old)
	7. Registered Flat Sale Agreement of Residence or Registered Lease/Leave & License Agreement
	9. Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address
	10. Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies
Bank Proof With MICR / IFSC Code (1st Holder only)	1. Bank Statement / Bank Passbook with cheque leaf (not more than 2 months old)
	2. Banker's Certificate on letter head of the Bank (ORIGINAL)
	3. Cancelled Personalized Cheque leaf
Demat Proof (1st Holder only)	1. Client Master
	2. DP Statement
	3. Transaction cum Holding Statement
	4. Welcome Letter
Proof of Income (Any One) (Mandatory for F&O / Currency Segments/ Commodity Derivatives Segment)	1. Copy of Latest ITR Acknowledgement
	2. Copy of Form16 or Salary Slip (not more than 3 months old)
	3. CA Certified Net-worth certificate with UDIN (not more than 1 year old)
	4. Copy of Latest Demat Account Holding Statement
	5. Bank Account Statement for last 6 months

IMPORTANT INSTRUCTIONS

1. All details to be filled in Capital Block letters in Black / Blue Ink Only.
2. Email ID & Mobile number is very important for account related passwords and transaction details.
3. Corrections in the KYC form should be counter signed.
4. All Originals to be produced for physical verification.
5. Sole proprietor must make the application in his individual name & capacity.
6. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
7. Account will be opened as per Name appearing on Income Tax website



KYC Type New KYC KYC Modification

KYC Mode: Normal EKYC OTP EKYCBiometric Online KYC Offline EKYC Digilocker

A. IDENTITY DETAILS

1) NAME OF THE APPLICANT (AS PER PAN CARD):	PLEASE AFFIX A RECENT PASSPORT PHOTOGRAPH AND SIGN ACROSS IT (3/33)
2. A) FATHER'S/SPOUSE NAME:	
2. B) MOTHER'S NAME:	
3. A) GENDER: <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Transgender	
3. B) MARITAL STATUS: <input type="checkbox"/> Single <input type="checkbox"/> Married	
3. C) DATE OF BIRTH: __/__/____	
4. A) NATIONALITY: <input type="checkbox"/> INDIAN <input type="checkbox"/> OTHERS	
4. B) RESIDENTIAL STATUS: <input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident (NRI) <input type="checkbox"/> Foreign National <small>(Passport Mandatory For NRIS, PIOs And Foreign Nationals)</small>	
5. A) PAN:	5. B) AADHAAR NO.:
6. PROOF OF IDENTITY SUBMITTED FOR PAN EXEMPT CASES: <input type="checkbox"/> UID (Aadhaar) <input type="checkbox"/> Passport <input type="checkbox"/> Voter ID <input type="checkbox"/> Driving License <input type="checkbox"/> Others	

B. ADDRESS DETAILS

1. ADDRESS FOR CORRESPONDENC(RESIDENCE): _____

LANDMARK:	CITY:	DISTRICT:
PIN CODE:	STATE:	COUNTRY:

2. CONTACT DETAILS

TEL. (OFFICE):	TEL (RESIDENCE):
MOBILE:	EMAIL:

3. SPECIFY THE PROOF OF ADDRESS SUBMITTED FOR RESIDENCE/CORRESPONDENCE ADDRESS:

4. PERMANENT ADDRESS OF RESIDENT APPLICANT IF DIFFERENT FROM ABOVE B1 OR OVERSEAS ADDRESS (MANDATORY) FOR NON-RESIDENT APPLICANT.

LANDMARK:	CITY:	DISTRICT:
PIN CODE:	STATE:	COUNTRY:

5. SPECIFY THE PROOF OF ADDRESS SUBMITTED FOR RESIDENCE/PERMANENT ADDRESS:

6. DETAILS OF RELATED PERSON:

Addition of Related Person Deletion of Related Person **KYC Number of Related Person (if available)**

Related Person Type: Deletion of Related Person Assignee

Name: _____

(If KYC Number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [POI] OF RELATED PERSON:

UID (Adhaar) PAN Card Voter ID Driving Licence Passport Others

DECLARATION **SIGNATURE OF APPLICANT**

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/we may be held liable for it.

I/We hereby consent to receiving information from KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details.

I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digi locker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

PLACE:	DATE: __/__/____	(4/33) PLACE:
--------	------------------	------------------

FOR OFFICE USE ONLY IPV Done on

Profitmart Securities Private Limited <input type="checkbox"/> (Originals Verified) & Self-Certified document Copies Received	STAFF NAME		Seal/Stamp Intermediary
	EMPLOYEE CODE		
	DESIGNATION		



<input type="checkbox"/> Attested True Copies Of Documents Received Main Intermediary Name & Signature of the Authorized Signatory	NAME OF THE ORGANIZATION SIGNATURE	
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ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT

TO BE FILLED BY THE BY DEPOSITORY PARTICIPANT

APPLICATION NUMBER:		DATE:	
DP INTERNAL REFERENCE NUMBER:		UCC:	
DP ID:		BO ID:	

TYPE OF ACCOUNT (PLEASE TICK WHICHEVER IS APPLICABLE)

STATUS	SUB - STATUS	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP Minor <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts	<input type="checkbox"/> Others (specify) _____

HOLDER DETAILS

I/we request you to open a DEMAT account in my/our name as per following details:

SOLE/FIRST HOLDER'S NAME (Search Name)			
PAN		UID	
UCC CODE		EXCHANGE NAME & ID	NSE: 14556 & BSE: 6676
SECOND HOLDER'S NAME			
PAN		UID	
UCC CODE		EXCHANGE NAME & ID	NSE: 14556 & BSE: 6676
THIRD HOLDER'S NAME			
PAN		UID	
UCC CODE		EXCHANGE NAME & ID	NSE: 14556 & BSE: 6676

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc. although the account is opened in the name of the living persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

DETAILS OF GUARDIAN (IN CASE THE ACCOUNT HOLDER IS MINOR)

GUARDIAN'S NAME:		
PAN NUMBER:		RELATIONSHIP WITH THE APPLICANT:

STANDING INSTRUCTIONS

I/We instruct the DP to receive each and every credit in my/our account. (If not marked, the default option would be 'Yes')

Yes No

I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (If not marked, the default option would be 'No')

Yes No

Account Statement Requirement As per SEBI Regulation Daily Weekly Fortnightly Monthly

I/We request you to send Electronic Transaction-cum-Holding Statement at the email ID

Yes No EMAIL: _____

I/We would like to share the email ID with the RTA

Yes No EMAIL: _____

I/We would like to receive the Annual Report (Tick the applicable box. If not marked, the default option would be in Physical)

PHYSICAL ELECTRONIC BOTH PHYSICAL AND ELECTRONIC

I/We wish to receive dividend/interest directly in to my/our bank account as given below through ECS (If not marked, the default option would be 'Yes')[ECS is mandatory for locations notified by SEBI from time to time]

Yes No

	SOLE/FIRST HOLDER	SECOND HOLDER	THIRD HOLDER
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SIGNATURE OF CLIENT: (5/33)			
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ANNEXURE	MANDATORY
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TRADING & DEMAT ACCOUNT RELATED DETAILS
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A. BANK ACCOUNT DETAILS(DIVIDEND BANK DETAILS)

ACCOUNT NO.			
BANK NAME			
BRANCH ADDRESS			
MICR CODE			
IFSC CODE			
ACCOUNT TYPE	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> Others
	IN CASE OF NRI ACCOUNT: <input type="checkbox"/> NRE <input type="checkbox"/> NRO		

B. DEPOSITORY ACCOUNT DETAILS

DEPOSITORY PARTICIPANT DETAILS OF THE CLIENT			
DP NAME	DP ID	BENEFICIARY ACCOUNT NO.	BENEFICIARY NAME
Profitmart Securities Private Limited	12083600		

C. TRADING PREFERENCES

PLEASE SIGN IN THE RELEVANT BOXES WHERE YOU WISH TO TRADE. THE SEGMENT NOT CHOSEN SHOULD BE STRUCK OFF BY THE CLIENT.

Exchanges	NSE/BSE			MCX/NSE
ALL Segments	CASH / Mutual Fund	F&O	Currency Derivatives	Commodity Derivatives
(6/33)	(7/33)	(8/33)	(9/33)	(10/33)

If you do not wish to trade in any of segments / Mutual Fund, please mention here:

D. PAST ACTION

DETAILS OF ANY ACTION/PROCEEDINGS INITIATED/PENDING/TAKEN BY SEBI/STOCK EXCHANGE/ANY OTHER AUTHORITY AGAINST THE APPLICANT/CONSTITUENT. (IF YES, PLEASE GIVE DETAILS) :

E. DEALINGS THROUGH AUTHORIZED PERSON (herein referred as AP) AND OTHER STOCK BROKERS:

IF CLIENT IS DEALING THROUGH THE AP, PROVIDE THE FOLLOWING DETAILS:

AP NAME:	SEBI REG. NO.:
REGISTERED OFFICE ADDRESS:	
PHONE:	WEBSITE:
WHETHER DEALING WITH ANY OTHER STOCK BROKER/AP (IF IN CASE DEALING WITH MULTIPLE STOCK BROKERS/AP PROVIDE DETAILS OF ALL):	
NAME OF STOCK BROKER:	AP IF ANY:
CLIENT CODE:	EXCHANGE:
DETAILS OF DISPUTES/DUES PENDING FROM/TO SUCH STOCK BROKER/AP:	

F. ADDITIONAL DETAILS

WHETHER YOU WISH TO RECEIVE Physical Contract Note OR Electronic Contract Note (ECN)

WHETHER YOU WISH TO AVAIL OF THE FACILITY OF INTERNET TRADING: Yes No

G. INTRODUCER DETAILS (Optional)

NAME OF THE INTRODUCER:			
ADDRESS OF THE INTRODUCER:			
STATUS OF THE INTRODUCER:	<input type="checkbox"/> Remisier	<input type="checkbox"/> Authorized Person	<input type="checkbox"/> Existing Client
	<input type="checkbox"/> Employee	<input type="checkbox"/> Others, please specify:	



MOBILE NUMBER:		SIGNATURE OF THE INTRODUCER:	
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H. OTHER DETAILS - INFORMATION IN RELATION TO THE PREVENTION OF MONEY LAUNDERING ACT, 2002

1. GROSS ANNUAL INCOME DETAILS	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> 25 Lacs-1 CR <input type="checkbox"/> >1 CR		
NET-WORTH IN RS. :	Net-worth in Rs. : as on (date): __/__/20__ * Net worth should not be older than 1 year		
2. OCCUPATION	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others		
PLEASE TICK AS APPLICABLE:	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)		
ANY OTHER INFORMATION:			
3. EXPERIENCE	(Number of years of Investment/Trading Experience)		
4. PURPOSE OF OPENING THE ACCOUNT:	<input type="checkbox"/> Investment <input type="checkbox"/> Trading <input type="checkbox"/> Arbitrage		
NRIs RBI PIS No.			

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

PLACE:		Signature of Client: (11/33)
DATE:		

FOR OFFICE USE ONLY

UCC ALLOCATED TO THE CLIENT:			
	DOCUMENTS VERIFIED WITH ORIGINALS	CLIENT INTERVIEWED BY	IN-PERSON VERIFICATION DONE BY
NAME OF THE EMPLOYEE			
EMPLOYEE CODE			
DESIGNATION OF THE EMPLOYEE			
DATE			
SIGNATURE			

We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. We have also made the client aware of 'Rights and Obligations' document (s), Risk Disclosure Document (RDD) and Guidance Note. We have given/sent him/her a copy of all the KYC documents. We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. We also undertake that any change in the 'Rights and Obligations' and Risk Disclosure Document (RDD) would be made available on our website, if any, for the information of the clients.

Signature of the Authorized Signatory

Date: _____ Seal/Stamp of the Stock Broker

FEMA DECLARATION VOLUNTARY

This has reference to my/our application for opening of a trading & DEMAT account with you. In this connection, I/we hereby declare that I/we have complied and will continue to comply with FEMA Regulations with respect to buying and selling of securities in the Indian Capital Market.

Thanking you,
Yours truly,

SIGNATURE OF CLIENT: (12/33)



OPTION FOR ISSUANCE OF DELIVERY INSTRUCTION SLIP (DIS) BOOKLET		VOLUNTARY
	DATE:	
DPID:	CLIENT ID:	
NAME OF THE SOLE/FIRST HOLDER:		
NAME OF THE SECOND HOLDER:		
NAME OF THE THIRD HOLDER:		
Option 1	<input type="checkbox"/> I/We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I/ We have issued a Power of Attorney (POA) in favour of Profitmart Securities Private Limited for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.	
Option 2	<input type="checkbox"/> I/We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I/We have issued a POA/DDPI/registered for eDIS in favour of Profitmart Securities Private Limited for executing the delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Clearing Member/or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my / our request at any later date.	
BASIC SERVICES DEMAT ACCOUNT (BSDA) CONFIRMATION (Note: If opted client should sign BSDA Tariff Sheet separately)		<input type="checkbox"/> I/We wish to opt for BSDA <input type="checkbox"/> I/We do not wish to opt for BSDA till my further instructions from my side
EASI		
To register for Easi please visit our website www.cdslindia.com EASI allows a BO to view his ISIN balances, transactions and value of the portfolio online.		
SMS ALERT FACILITY		
Refer to Terms & Conditions given as Annexure - 2.4 MOBILE NO.+91 _____ [Mandatory, if you are giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option).		
BSE STAR MFSS / NSE MFSS		
I/We am/are registered as your client and have executed the "Trading Member and Client Agreement" for the purpose of trading in the Capital Market segment of Bombay Stock Exchange Ltd. (BSE)/National Stock Exchange Ltd. (NSE). I/We am/are interested in availing the trading facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE STAR MF/NSE MFSS For the purpose of availing this facility, I/we state that Know Your Client details as submitted by me/us for the stockbroking may be considered for the purpose of BSE STAR MF/NSE MFSS, and I/we further confirm that the details contained in the same remain unchanged as on date. I/We are willing to abide by the terms and conditions as mentioned in the Circular dated December 2, 2009, and as may be specified by the Exchange from time to time in this regard. I/We shall ensure also compliance with the requirements as may be specified from time to time by the Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes. I/we, therefore, request you to register me/us as your client for participating in BSE STAR MF/NSE MFSS.		
Signature of Client: (13/33)		

ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSE (For NRI Only)			
Address			
City:	District		
Pin code	Country / ISO Code		



NOMINATION FORM					MANDATORY	
Profitmart Securities Private Limited				FORM FOR NOMINATION <i>(To be filled in by individual applying singly or jointly)</i>		
DATE		UCC		DP ID	12083600	Client ID
<input type="checkbox"/> I/We wish to make a nomination. [As per details given below]						
NOMINATION DETAILS						
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.						
Nomination can be made up to three nominees in the account		DETAILS OF 1ST NOMINEE	DETAILS OF 2ND NOMINEE	DETAILS OF 3RD NOMINEE		
1.	NAME OF THE NOMINEE(S) MR./MS.)					
2.	SHARE OF EACH NOMINEE	EQUALLY [IF NOT EQUALLY, PLEASE SPECIFY PERCENTAGE]				
ANY ODD LOT AFTER DIVISION SHALL BE TRANSFERRED TO THE FIRST NOMINEE MENTIONED IN THE FORM.						
3.	RELATIONSHIP WITH THE APPLICANT (IF ANY)					
4.	ADDRESS OF NOMINEE(S) CITY / PLACE: STATE & COUNTRY:					
	PIN CODE:					
5.	MOBILE/TELEPHONE NO. OF NOMINEE(S)					
6.	EMAIL ID OF NOMINEE(S)					
7.	NOMINEE IDENTIFICATION DETAILS [PLEASE TICK ANY ONE OF FOLLOWING AND PROVIDE DETAILS OF SAME]					
	<input type="checkbox"/> PHOTOGRAPH & SIGNATURE					
	<input type="checkbox"/> PAN					
	<input type="checkbox"/> AADHAAR					
	<input type="checkbox"/> SAVING BANK A/C NO.					
	<input type="checkbox"/> DEMAT ACCOUNT ID					
SR. NOS. 8-14 SHOULD BE FILLED ONLY IF NOMINEE(S) IS A MINOR:						
8.	DATE OF BIRTH {IN CASE OF MINOR NOMINEE(S)}					
9.	NAME OF GUARDIAN (MR./MS.) {IN CASE OF MINOR NOMINEE(S)}					
10.	ADDRESS OF GUARDIAN(S) CITY / PLACE: STATE & COUNTRY:					
	PIN CODE					
11.	MOBILE / TELEPHONE NO. OF GUARDIAN					
12.	EMAIL ID OF GUARDIAN					
13.	RELATIONSHIP OF GUARDIAN WITH NOMINEE					
14.	GUARDIAN IDENTIFICATION DETAILS –[PLEASE TICK ANY ONE OF FOLLOWING AND PROVIDE DETAILS OF SAME]					
	<input type="checkbox"/> PHOTOGRAPH & SIGNATURE					



<input type="checkbox"/> PAN			
<input type="checkbox"/> PROOF OF IDENTITY			
<input type="checkbox"/> DEMAT ACCOUNT ID			

	NAME(S) OF HOLDER(S)	SIGNATURE(S) OF HOLDER* (14/33)
SOLE/FIRST HOLDER (MR./MS.)		
SECOND HOLDER (MR./MS.)		
THIRD HOLDER (MR./MS.)		

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note:
This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

DECLARATION FORM FOR OPTING OUT OF NOMINATION

To,	Date
Profitmart Securities Private Limited	
UCC/DP ID	/ 12083600
CLIENT ID (ONLY FOR DEMAT ACCOUNT)	
SOLE/FIRST HOLDER NAME	
SECOND HOLDER NAME	
THIRD HOLDER NAME	

I/We hereby confirm that I/we do not wish to appoint any nominee(s) in my/our trading/DEMAT account and understand the issues involved in non-appointment of nominee(s) and further I/we am/are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our trading/DEMAT account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading/DEMAT account.

Name and Signature of Holder(s)*

(15/33) 1.	2.	3.
---------------	----	----

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Name and address		
1.	2.	3.



TARIFF SHEET														MANDATORY	
CHARGE HEAD		CHARGES (Individual)													
Account Opening		Rs 250 + GST one time KYC charges													
AMC		<input type="checkbox"/> DP AMC for lifetime is Rs 999 including GST <input type="checkbox"/> Rs 0 /- one time KYC charges and Rs. 25 + GST monthly DP AMC charges <input type="checkbox"/> Rs 250 + GST one time KYC charges and Rs. 25 + GST monthly DP AMC charges													
DDPI		Rs. 120/- (One Time only)													
Transaction Charges Market Sell		Rs.20/- Per Scrip (Irrespective of Quantity)													
KRA Modification Charges		KRA Upload/ Download: Rs. 50/-													
Transaction Charges Off- Market Outward		0.05% Transaction Value OR Rs.35/- (Whichever is Higher) Per ISIN/ Transaction for Off-Market and Inter- Depository													
Failed Instruction		Rs.20/- Per ISIN / Transaction													
Pledge And Unpledge(Margin, CUSPA & etc)		Rs.20/- Per ISIN / Transaction													
Revoke		Rs.50/- Per ISIN / Transaction													
Pledge Creation / Closure or Invocation		0.01% Of Transaction Value Or Rs.50/- (Whichever Is Higher) Per ISIN / Transaction													
DEMAT Charges		Rs 15/- Per Certificate, (Minimum Rs 50/-) & Rs.100 Courier Charges													
REMAT Charges		A Flat Fee of Rs 25/- per certificate for every 100 Securities +Rs. 100/- Courier Charges													
Delivery Instruction Slip Book		DIS Booklet (5 Leaves): Rs.75/- + Rs. 100/- Courier Charges													
Periodic Statement (Physical)		Rs. 10/- Per Page + Rs. 100/- Courier charges													
Ad-hoc Statement		Rs. 10/- Per Page + Rs. 100/- Courier charges													
Account Modification Charges		Modification chrges Rs.50- / Per Request													
<input type="checkbox"/> OPTION 1															
BROKERAGE DETAILS															
	CASH SEGMENT		F&O FUTURE			CURRENCY FUTURE			F&O OPTION			CURRENCY OPTION			
	Min (Paise)	Max (%)	Min (Paise)	Min Per Lot (Rs.)	Max (%)	Min (Paise)	Min Per Lot (Rs.)	Max (%)	Min (Paise)	Min Per Lot (Rs.)	Max (%)	Min (Paise)	Min Per Lot (Rs.)	Max (%)	
One Side															
Both Side															
Delivery Brokerage															
BROKERAGE DETAILS - COMMODITY															
	FUTURES						OPTIONS								
	Min (Paise)		Min Per Lot (Rs.)		Max (%)		Min (Paise)		Min Per Lot (Rs.)		Max (%)				
One Side															
Both Side															
Delivery Brokerage															
OPTION 2															
For Equity Intraday/Delivery/Futures, Currencies Futures, Commodities Futures flat Rs.20 or 2.5% (whichever is lower). Per Executed Order. Equity/Currency/Commodities Options flat Rs.20 Per Executed Order.															
Interest @ 24% p.a will be charged on the outstanding bill / margin amount if not paid within the due date. The above tariff is subject to change. Changes if any will be intimated 30 days in advance. Annual Maintenance Charge (AMC) is non refundable. GST, applicable on all above charges except stamp charges. Stock Delivery handling charges will be 20/- Per script and Instant Live SMS charges will be Rs 50/- per month, Modification charges be Rs 50/-.. Clearing charges will be Rs .005% of Turnover in Derivatives Segment and .001% of premium in Option Segment. .001% charges will be applicable for Additional Product / features i.e. Cover order/ basket order. Mobile trading charges will 2/- Rs. per trade. Stock Delivery /Demat Auto Payout/Intra Settlement/ Charges Rupees 20 per script. Bank Charges like RTGS/NEFT/Cheque Return etc at actual. Penalties Charged by Exchanges / SEBI / Any Regulatory Authorities ONLY if it is due to Clients Defaults / Errors / Violations															
DP Interest @ 13% p.a will be charged on the outstanding bill amount if not paid within the due date. The above tariff is subject to change. Changes if any be intimated 30 days in advance. Annual Maintenance Charge (AMC) is non-refundable. GST, Education Cess and Higher Education Cess applicable on all above charges except stamp charges.															
Signature of Client: (16/33)															



RUNNING ACCOUNT AUTHORISATION

VOLUNTARY

To optimize the operational efficiency of my/our trading account, I/we request Profitmart Securities Private Limited to maintain a running balance in my/our account, as opposed to effecting fund/securities settlements on a settlement-to-settlement basis.

I/We authorise you as under:

1. I/We hereby give my/our consent to maintain a running balance in my/our account and retain credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s) / Clearing corporation unless I/We instruct /revoke you otherwise.
2. I/We request you to settle my/our fund and securities account (choose one option)
 - Once In a Calendar Month Once in a Calendar Quarter
3. The settlement of running account shall be done by you in accordance with the circulars/guidelines issued by SEBI/Exchanges from time to time and as per the Risk Management Policy of Profitmart Securities Private Limited available on its website.
4. I/We hereby confirm that I/We shall promptly notify you of any disputes arising from the statement of accounts or settlement so made in writing, preferably within 7 working days from the date of receipt of funds/securities or the statement of account, or any related statement, as applicable.
5. Further, I/We authorize you to deposit/repledge any or all the securities kept as collateral by me/us with the Exchanges and/or their Clearing Corporation/House/Member towards margin, as permitted from time to time.
6. I/We can request for the release of pay-out any time after adjusting all dues towards Profitmart Securities Private Limited.
7. I/We hereby confirm that I/We have the right to revoke the aforementioned authority at any time. This authorization for the running account shall remain in effect until such time as it is duly revoked by me/us.

SIGNATURE OF CLIENT: (17/33)

AUTHORISATION FOR RECEIPT OF CONTRACT NOTES AND OTHER DOCUMENTS IN DIGITAL MODE

I/We hereby consent to receive from AUTHORISATION FOR RECEIPT OF CONTRACT NOTES AND OTHER DOCUMENTS IN DIGITAL MODE

I/We hereby consent to receive from Profitmart Securities Private Limited the digital contract notes, bills, ledgers, transaction statements, DEMAT statement of accounts/DEMAT transaction statement/holding statement(s)/bills/other statements, notices, circulars, amendments and such other correspondence documents, records by whatever name called in electronic form duly authenticated by means of a digital signature as specified in the Information Technology Act, 2000 and the rules made thereunder to my/our below mentioned E-mail Id (said E-mail Id).

E-mail Id: _____

I/We further hereby agree that PSPL shall fulfil the legal obligation, if the above documents are sent electronically to the said E-mail Id and I/we am/are aware that I/we will not receive the statements/documents in paper form. In this regard, I/we further confirm and agree that: -

1. The above is my/our personal E-mail Id. The same has been created by me/us and not by someone else. I/We shall take all necessary steps to ensure confidentiality and the secrecy of the login and password of the above-mentioned E-mail account. PSPL shall not be liable to or responsible for any breach of secrecy. I/We am/are aware that the statements/documents may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised. I/We will be solely responsible for the security of the information provided on my/our aforesaid E-mail Id
2. E-mails sent to any of the above-mentioned E-mail accounts, which have not bounced back, shall be deemed to be duly delivered to me/us. I/We am/are aware that non-receipt of bounced email notification by the member shall amount to delivery of the Contract Note and other documents to the above-mentioned E-mail Id(s)
3. PSPL shall not take cognizance of out-of-office/out of station auto replies and I/we shall be deemed to have received such electronic mails. Such Statements shall be deemed to have been delivered on the day when the E-mail is sent by PSPL
4. PSPL shall not be liable or responsible for any Statement received from frauds or impostors or any consequences thereof
5. PSPL shall not be liable for any problem, which arises at my/our computer network because of my/our receiving any Statement from PSPL
6. I/We shall inform PSPL by way of a letter in writing duly signed by me/us or informed through an internet platform if there is any change in the E-mail given above
7. I/We undertake to check the Statement and bring the discrepancies to your notice within the time period as specified under the relevant agreements entered into with PSPL. My/Our non-verification or not accessing the Statement on a regular basis shall not be a reason for disputing the same at any time
8. Further I/we agree and undertake to indemnify PSPL and its group companies, that they will not be held liable/responsible for any losses, claims damages, cost and liabilities etc. caused to me/us due to misuse of this information or pursuant to any litigation cases, complaints, actions, etc. that may arise in future on account of my/our above authorization request
9. In case of bounced E-mail, PSPL shall send physical copy of contract note to me/us within the stipulated time under the extant regulations of SEBI/stock exchanges

NAME:	PLACE:
SIGNATURE OF CLIENT:(18/33)	DATE:



APPLICABLE TO COMMODITY DERIVATIVES	MANDATORY
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To,

Profitmart Securities Private Limited
 UNIT NO 213,OPAL SQUARE,
 PLOT NO C-1, THANE,
 MUMBAI - 400604
 Sub: Disclosure of Commodity-wise client categorization

As per regulatory requirement, I/We here by submit/update my/our commodity-wise categorization depending on my/our nature of activity.

I/We further confirm that in case of any change in categorization, I/We shall update same with Profitmart Securities Private Limited, and I/we understand that you may be required to disclose to Exchanges/SEBI.

COMMODITY CATEGORIZATION (PLEASE TICK THE RELEVANT CATEGORY)

NAME OF COMMODITY	FARMERS/FPOS	VALUE CHAIN PARTICIPANTS (VCPS)	PROPRIETARY TRADERS	DOMESTIC FINANCIAL INSTITUTIONAL INVESTORS	FOREIGN PARTICIPANTS	OTHERS
ALUMINIUM						
CARDAMOM						
CASTORSEED						
COPPER						
COTTON						
CPO						
CRUDEOIL						
GOLD						
KAPAS						
LEAD						
MCXBULLDEX						
MENTHAOIL						
NATURALGAS						
NICKEL						
PEPPER						
SILVER						
ZINC						

1. Farmers/FPOs : It includes participants such as farmers, farmers’ cooperatives, Famers Producers Organizations (FPOs) and such entities of like nature
 2. Value chain participants (VCPS) : It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash and Carry participants, Produces, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs
 3. Domestic financial institutional investors : It includes participants such as Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives
 4. Foreign participants : It includes participants such as Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets
 5. Others : All other participants which cannot be classified in the above categories
- Yours Faithful,

NAME OF CLIENT:	
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DATE:	PLACE:	
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SIGNATURE OF CLIENT: (19/33)



SMS AND E-MAIL ALERTS TO INVESTORS BY STOCK EXCHANGES

MANDATORY

CLIENT NAME UCC

MOBILE NO

EMAIL ID

CLIENT NAME UCC

I hereby declare that the aforesaid mobile number belongs to : _____

I hereby declare that the aforesaid E-mail ID belongs to : _____

I request you to update the same in my trading and DEMAT account and send all the Confirmations and other communication through SMS and E- mail ID.

Further, I hereby agree and undertake to indemnify and keep indemnified and save you against all claims/demands/penalties/suits/action or any loss or damages suffered or incurred as a consequence of such instruction.

Further, I authorize Profitmart Securities Private Limited (PSPL) that the same may be used for giving me any information/alert/SMS. I further declare the above-mentioned statement is true and correct.

Signature of Client: (20/33)

CONFIRMATION OF RECEIPT OF EXECUTED DOCUMENTS

I/We hereby acknowledge receipt of the following documents:

1. Rights and Obligations of Stock Brokers, DP, Sub-brokers and Clients (including additional rights & obligations in case of internet / wireless technology-based trading).
2. Risk Disclosure Document for Capital Market and Derivatives Segments.
3. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors.
4. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009.
5. PSPL Tariff sheet.
6. General Terms and Conditions governing securities trading and broking services of Profitmart Securities Private Limited.
7. All other mandatory and voluntary client registration documents.
8. I/We hereby acknowledge the receipt of duly executed copy of KYC and all other documents as executed by me/us. Further I confirmed that the documents for KYC submitted by me are true and correct.
9. Investor Charter

I/We understand that the Voluntary documents executed by me/us are out of my/our own free will. I state that I have read and understood all above documents and these documents are binding upon me.

SIGNATURE OF CLIENT: (21/33)

AUTHORISATION TO DEBIT TRADING ACCOUNT FOR THE DEMAT ACCOUNT CHARGES

I/We have opened/have a beneficiary account with your CDSL depository along with a trading account for investment and trading purposes. It would be difficult or me/us to keep issuing separate cheques against your depository bills. I/We, therefore authorize you to debit the charges payable towards operation of the above account. I/We are aware of the charges for operating the said account. Any such sum debited to my trading account shall be binding on me/us.

SIGNATURE OF CLIENT: (22/33)

COMMODITY OPTION CONSENT

I/We am/are interested in availing the facility of trading in Options on Commodity Derivatives offered by Profitmart Securities Private Limited. And dealing in Commodity Future through a Trading account. I/We do hereby undertake that I/we am/are competent in all respects to participate to trade in Options on Commodity Derivatives as may be permitted by Profitmart Securities Private Limited / SEBI from time to time. I/We hereby confirm having read and clearly understood the contents of the "Additional Risk Disclosure documents for Options Trading". I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stock broker's designated website, if any. I/We hereby also agree and confirm that Profitmart Securities Private Limited. may demand additional exposure margin for any particular option position or from a particular client as per its own discretion. I/We hereby also agree and confirm that Profitmart Securities Private Limited. may liquidate any of my open option position in case of margin shortfall including shortfall arise on account of devolvement margin requirement on devolvement of option positions into futures. This undertaking is in addition to the undertaking given by me/us earlier to Profitmart Securities Private Limited. I/We request Profitmart Securities Private Limited. to provide all necessary facilities to enable me/us for trading in Options on Commodity.



SIGNATURE OF CLIENT: (23/33)

INTERNET & WIRELESS TECHNOLOGY BASED TRADING

MANDATORY

INTERNET & WIRELESS TECHNOLOGY BASED TRADING FACILITY PROVIDED BY STOCK BROKERS TO CLIENT (ALL THE CLAUSES MENTIONED IN THE RIGHTS AND OBLIGATIONS' DOCUMENT(S) SHALL BE APPLICABLE. ADDITIONALLY, THE CLAUSES MENTIONED HEREIN SHALL ALSO BE APPLICABLE) CLIENT'S

1. Stock broker is eligible for providing Internet based trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with data card, etc. which use Internet Protocol (IP). The stock broker shall comply with all requirements applicable to internet-based trading/ securities using trading wireless technology as may be specified by SEBI & the Exchanges from time to time
2. The client is desirous of investing/ trading in securities and for this purpose, the client is desirous of using either the internet-based trading facility or the facility for securities trading through use of wireless technology. The Stock broker shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI/ Exchanges Provisions and the terms and conditions specified on the Stock broker's IBT Web Site provided that they are in line with the norms prescribed by Exchanges/ SEBI.
3. The stock broker shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/ internet/ smart order routing or any other technology should be brought to the notice of the client by the stock broker.
4. The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy as stipulated in line with norms prescribed by Exchanges/ SEBI.
5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Stock broker's System IBT using the Client's Username and/ or Password whether or not such person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/ securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/ or his authorized representative are not revealed to any third party including employees and dealers of the stock broker.
6. The Client shall immediately notify the Stock broker in writing if he forgets his password, discovers security flaw in Stock Broker's IBT System, discovers/ suspects discrepancies/ unauthorized access through his username/ password/ account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.
7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/ securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/ password in any manner whatsoever.
8. The stock broker shall send the order/ trade confirmation through email to the client at his request. The client is aware that the order/ trade confirmation is also provided on the web portal. In case client is trading using wireless technology the stock broker shall send the order/ trade confirmation on the device of the client.
9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.
10. The Client shall not have any claim against the Exchange or the Stock broker on account of any suspension, interruption, nonavailability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or non-execution of his orders due to any link/ system failure at the Client/ Stock brokers/ Exchange end for any reason beyond the control of the stock broker/ Exchanges

SIGNATURE OF CLIENT: (24/33)

CONSENT LETTER FOR MARGIN TRADING FACILITY

Kindly note that The Securities Exchange Board of India (SEBI) has notified amended Margin Trading Facility (MTF) vide circular CIR/MRD/DP/54/2017 dated June 13, 2017. As per the said SEBI circular, the clients are required to accept Rights and Obligation of Stock Broker and client for MTF to avail the MTF.

Customers would be able to take position under MTF indefinitely subject to margin availability and other Terms as specified in Rights and obligation document. The Customer to take positions by providing prescribed margin and the balance amount will be funded by Profitmart Securities Private Limited to meet the pay-in obligation of the Client. The Customer then needs to ensure that the available margin is always above the Minimum Margin specified STOCK EXCHANGES by to avoid squaring off the positions.

The customer agrees to pay Interest rate at 24% per annum or such rate as may be decided and communicated by Profitmart Securities Private Limited from time to time on all outstanding payment beyond the due date. You are hereby requested to accept Terms and Conditions, Rights and Obligation for Margin Trading and take note of the same.

Thanking you

For Profitmart Securities Private Limited

Authorised Signatory

I hereby accept terms & conditions mentioned above for availing margin trading facility.

SIGNATURE OF CLIENT: (25/33)



POLICIES AND PROCEDURES FOR CLIENT DEALINGS

A. REFUSAL OF ORDERS FOR PENNY STOCKS:

The broker reserves its right to accept orders for buy or sell of stocks that quote at a relatively low price or have low floating stock. They will not be held responsible for any loss of opportunity by the client

B. SETTING UP CLIENT'S EXPOSURE LIMIT:

The Client is aware and agrees that the trading exposure limit provided to each client may not be of the same multiple, and it is the discretionary prerogative of the broker to allow distinct permissible exposure to each client. The broker may seek up to 100% margin before accepting any order

C. BROKERAGE RATE:

Brokerage will be charged to the clients at rates not exceeding the limit prescribed by the Exchange and at the value which is mutually agreed between the Client and the broker

D. IMPOSITION OF PENALTY/DELAYED PAYMENT CHARGES, SPECIFYING THE RATE AND THE PERIOD NOT RESULTING IN FUNDING BY THE BROKER IN CONTRAVENTION OF THE APPLICABLE LAWS:

The Client has executed a 'Running account authorization' in favor of the broker with the intent to have a ready margin to meet his or her/their trading needs. No interest is payable by the broker on credit balances if any. The broker is not into the business of funding client positions, delayed payment will be charged of 1½% per month

E. THE RIGHT TO SELL CLIENT'S SECURITIES OR CLIENT'S POSITIONS, ON ACCOUNT OF NON-PAYMENT OF CLIENT'S DUES (THIS SHALL BE LIMITED TO THE EXTENT OF SETTLEMENT/MARGIN OBLIGATION) :

In the event of non-payment of settlement obligations, the broker reserves the right to liquidate securities or open positions of the client to the extent of clearing the debit balance standing in a financial ledger or margin obligation

F. CONDITIONS UNDER WHICH A CLIENT MAY NOT BE ALLOWED TO TAKE FURTHER POSITION OR THE BROKER MAY CLOSE THE EXISTING POSITION OF A CLIENT :

Under any of the circumstances, such as, client's failure to meet pay-in or margin obligations or clearance of outstanding/debit balance with broker before permissible time limit or beyond such period as may be allowed by broker as per its RMS policy, the Client may not be permitted to take any fresh or further position until the full clearance of earlier dues, obligation, outstanding etc. Broker can firstly set-off or adjust the payment or securities towards various dues and obligation of the client and until the full clearance of the same, shall not allow the client to take further / fresh position.

G. Further, it would be the duty of the client to monitor his/her/its position with the Broker from time to time. In case of any delay or failure in meeting any obligation, margin requirements, etc. from the client-side, the broker might close the existing position or open position WITHOUT ANY FURTHER INTIMATION to the client as per RMS policy. Such Circumstances may include (but not limited to) :

- (i) Failure to meet pay-in obligation on T+1 day,
- (ii) Delay in meeting the pay-in or margin requirement,
- (iii) Delay or failure in the clearance of outstanding or dues to the broker,
- (iv) Returning or frequent returning of cheque of the client,
- (v) Unnecessary / Unwarranted dispute from the client without any substantial cause/reason,
- (vi) Client's attitude of not coming to the amicable settlement for any dispute that can be settled without the involvement of Exchange and/or SEBI.
- (vii) As per the prevalent RMS policy of the Broker,
- (viii) Any direction from SEBI/Exchange or such other authorities,
- (ix) Under such other circumstances as the Broker might think just and proper on case-to-case basis

H. TEMPORARILY SUSPENDING OR CLOSING A CLIENT'S ACCOUNT BASED AT THE CLIENT'S REQUEST:

The Broker and/or client may suspend Client's Trading Account from further dealing in the securities market through the broker in the following circumstances:

- a) As per Client's prior written request of at least 3 days submitted to broker at its Pune H.O. duly acknowledged by broker (Subject to clearance of entire outstanding/obligations),
- b) Dormant or in-active status of the client beyond specified time limit as may be prescribed by broker,
- c) Under any circumstances mentioned in (i) to (ix) above

I. DEREGISTERING A CLIENT:

Notwithstanding anything to the contrary stated in the mandatory and voluntary client registration documents, the stockbroker shall be entitled to terminate the mandatory and voluntary client registration document's, with immediate effect in any of :

- (i) Regulatory actions of the SEBI or any of its arms;
- (ii) Commencement of a legal process against the client under prevailing laws of the land;
- (iii) Death or lunacy or disability resulting in the inaction of the client.
- (iv) Breach of any term, condition or covenant of this mandatory & voluntary client registration document's
- (v) Detection of misrepresentation of facts by the client;
- (vi) The client having voluntarily or compulsorily become the subject of proceedings under any bankruptcy or insolvency law or being a company, goes into liquidation or has a receiver appointed in respect of its assets or refers itself to the Board for Industrial and Financial Reconstruction or under any other law providing protection as a relief undertaking;
- (vii) If any covenant or warranty of the Client is incorrect or untrue in any material respect;



J. TAPE RECORDING OF THE CONVERSATION

The client is aware that the member may record the conversation between the client and the member

K. DISPLAY OF POLICY AND OTHER INFORMATION ON THE WEBSITE OF MEMBER/ EXCHANGE/SEBI

The client is aware that the policies/ procedures of the member and other information are regularly hosted on the website of the member and he shall ensure to keep himself updated with the same. The client also agrees to keep himself updated with the circulars hosted on the SEBI/ exchange website

The client is also aware that Investor grievance email ID, Policy & Procedures, Copy of Standard KYC, Risk Management Policy, Literature of AML requirement, Links to the website of SEBI and Stock Exchanges of which Stock Broker is a member, other Information that a trading member is required to furnish to his clients is hosted on member's website as well as available on-demand from member's office

L. NO MARKET MANIPULATION

I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing, or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self-trades, etc. or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. In case I am found to be indulging in such activities, Profitmart Securities Private Limited (hereinafter referred as 'PSPL') has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.

M. PMLA DECLARATION

I/We declare that I/we have read and understood the contents and the provisions of the PMLA Act, 2002, which were also explained to me by PSPL officials. I/We further declare that I/we shall adhere to all the provisions of the PMLA Act, 2002

I/We further undertake and confirm that;

- a) I/We do not have any links with any known unlawful persons/institutions
- b) I/We am/are a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I/we am/are a party to it. The investment money is derived from proper means and does not connect with the proceeds of crime nor am/are I/we a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

N. THIRD-PARTY PAYMENTS

PSPL shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along with adequate proof thereof as per proforma prescribed by PSPL. PSPL shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above

However, due to oversight, if any such third-party payment has been accepted by PSPL and the credit for the same has been given in the client's ledger, PSPL shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, PSPL reserves the right to liquidate any of the open positions and/or any of the collaterals received/ held on behalf of the client. PSPL, its Directors and employees shall not be responsible for any consequential damages or losses

O. DELIVERIES

The client shall ensure that the shares are properly transferred to the designated DEMAT account of PSPL, for effecting delivery to the Exchange against the sale position of the client. Such transfers shall be entered by the client within the time specified by SEBI/Exchanges/PSPL. In case the client fails to transfer the shares on time to PSPL, PSPL shall not be responsible for any loss/damages arising out of such delayed transfers

P. INDEMNIFICATION

I/We hereby indemnify and hold PSPL, its Directors, and employees harmless from and against all trade-related claims, demands, actions, proceedings, losses, damages, liabilities, charges, and/or expenses that are occasioned or may be occasioned to PSPL directly or indirectly, relating to bad delivery of shares/securities and/or third-party delivery, whether authorized or unauthorized and fake/forged/stolen shares/securities/transfer documents introduced or that may be introduced by or through me/us during the course of my/our dealings/operations on the Exchange(s) and/ or proof of address, identity and other supporting documents provided by me/us at the time of registration and/or subsequently.

Q. NO DEALINGS IN CAS

PSPL as a policy neither accepts any funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/Sub Broker/Remisier/Employee/Authorized Person of PSPL

R. LOSS DUE TO TECHINCAL GLITCH/ANY ISSUE

I/We agree that I/we will not be compensated by the broker for any loss opportunity viz. notional profits on buy/sell orders which could not be executed due to any reason whatsoever, including but not limited due to time lag in the execution of the order or the speed at which the system of the broker/ the Exchanges is operating, any shutting down by the broker of my/our system for any reason or the broker disabling me/us from trading on its system for any reason whatsoever.

Signature of Client: (26/33)



**UNDERTAKING: NO "ASSURED RETURN" OR "FIXED RETURN" IS PROMISED BY PSPL
OR SOUGHT BY THE CLIENT**

MANDATORY

I, _____ do hereby state, undertake and confirm as under:

- I understand and I am being made aware that Profitmart Securities Pvt Ltd ("PSPL") does not offer any product pertaining to "portfolio management" or any "fixed return scheme" of any kind.
- I understand and I am being made aware that PSPL "does not accept cash for opening or operating trading account" or under any circumstances. All pay-in and pay-out activities are through banking channels only.
- I understand and I am being made aware that PSPL does not provide "guaranteed" or "assured" or "fixed" returns to any of its clients for trading in the securities market.
- I understand and I am being made aware that I am not being offered / sold any "assured return" plan or scheme by PSPL or any of its representatives.
- I understand and I am being made aware that investment in stock / securities market is subject to market risk. Hence, I agree and undertake to not raise any demand of "assured" or "fixed" return at any point in time.
- I understand and I am being made aware that PSPL, and its directors/employees/authorised persons do not promote or allow any of the aforesaid activities or any other activity which is in contravention to the rules/regulations/bye-laws of the NSE / SEBI.
- That I hereby confirm that the contents of this Undertaking have been read out and explained to me in my language and I have understood the contents thereof.

SIGNATURE OF CLIENT: (27/33)

**MOST IMPORTANT TERMS AND CONDITIONS (MITC)
(For non-custodial settled trading accounts)**

MANDATORY

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

SIGNATURE OF CLIENT: (28/33)



DEMAT DEBIT AND PLEDGE INSTRUCTION - VOLUNTARY

I/We, the client(s) mentioned herein below, holding BO ID 12083600 _____

authorize the Stock Broker and Depository Participant M/s. Profitmart Securities Pvt. Ltd (PSPL) to access our BO account for the limited purpose of meeting pay-in obligations for settlement of trades executed by me/us.

I/We, the client(s) mentioned herein below, authorize the Stock Broker and Depository Participant M/s. Profitmart Securities Pvt. Ltd (PSPL) to pledge securities held in my/our BO account to the Stock Broker for limited purpose of meeting my/our margin obligations in connection with my/our trades executed on recognized Stock Exchange(s) through PSPL and to further repledge the same by PSPL in favour of Clearing Corporation/ Clearing Member as may be required for the purpose of meeting my/our margin obligation(s).

These instructions shall continue to be valid and operative until it is revoked by me/us in writing by sending such revocation letter in hard copy to the registered office of PSPL duly signed by me/us. The revocation instruction shall be operative from the next working day after the same is received by PSPL at its registered office in hard copy.

I/We understand that providing this DDPI is voluntary on my/our part and there is no compulsion for me/us to sign the same. I/We declare that, I/we have signed the same voluntarily on our own volition.

PURPOSE OF DDPI

1. Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker.

	First/Sole Holder	Second Holder	Third Holder
Specimen Signatures (29/33)			

2. Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange. Any other transactions that may be allowed by SEBI/CDSL from time to time.

	First/Sole Holder	Second Holder	Third Holder
Specimen Signatures (30/33)			

3. Mutual Fund transactions being executed on Stock Exchange order entry platforms.

	First/Sole Holder	Second Holder	Third Holder
Specimen Signatures (31/33)			

4. Tendering shares in open offers through Stock Exchange platforms.

	First/Sole Holder	Second Holder	Third Holder
Specimen Signatures (32/33)			

List of the Stock Broker Designated Demat Account:

Sr No	Demat Account Number	Exchange & Segment	Account Type	Transfer for the purpose of
1	1208360000044529	BSE Cash	CDSL Pool Account	PAY OUT
2	1208360000039261	BSE Cash	CDSL Principal Account	PAY IN
3	1208360000006284	NSE Cash	CDSL Pool Account	PAY IN
4	IN30009512091499	NSE Cash	NSDL Pool Account	PAY IN
5	IN30009512139078	BSE Cash	NSDL Pool Account	PAY IN
6	1100001000024048	BSE Cash	CDSL Early Pay In Pool Account	EARLY PAY IN
7	1100001100020210	NSE Cash	CDSL Early Pay In Pool Account	EARLY PAY IN

List of the Stock Broker Designated Demat Account:

Sr No	Demat Account Number	Exchange & Segment	Account Type
1	1208360000639326	All Exchanges – All Segments	TM/CM Clients Securities Margin Pledge Account
2	1208360000849790	All Exchanges – All Segments	TM/CM Margin Funding

	First/Sole Holder	Second Holder	Third Holder
Signatures (33/33)			

Accepted by Profitmart Securities Private Limited	Signature
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DETAILS OF TRADING AND CLEARING MEMBER

Name Of Stock Broker : PROFITMART SECURITIES PRIVATE LIMITED
Registered Office : Unit No 213. Opal Square, Plot No C-1, Thane – East, Thane – 400604
Corporate Office : Office No.3, 1st floor, B3, Cerebrum IT Park, Above DMART, Kalyani Nagar, Pune – 411014
Telephone Numbers : +91 20 4911 9119
Email : Info@profitmart.in
CIN : U65990MH2011PTC217211
Pan : AAHCM1771E
SEBI Registration No : INZ000093633
SEBI Registration No. Of CDSL : IN-DP-295-2016
CDSL DP ID : CDSL DP Id: 12083600
Membership No : NSE :14556 BSE: 6676 MCX:40885
Clearing Member Name : PROFITMART SECURITIES PRIVATE LIMITED
Clearing Member NSE Commodity : ORBIS FINANCIAL CORPORATION LIMITED (Clearing Member Code – C51564)
Compliance Officer Name : Mr. Nitin Bora
Phone No. : 020-49119119
Email Id : compliance@profitmart.in
CEO / Director Name : Mr. Rakesh Sonawane
Phone No. : 020-49119119
Email Id : info@profitmart.in

For any grievance/dispute please contact **PROFITMART SECURITIES PRIVATE LIMITED** at the above address or email id: grievance@profitmart.in and Phone no. 02049119119. In case not satisfied with the response, please contact the concerned exchange(s) at their Contact details given below:

BSE Limited (BSE)

Tel. No.: +91-22-2272-1234/33
Investor Services email ID.: is@bseindia.com

NSE Limited (NSE)

Tel. No.: 1800-266-0058
Investor Services email ID.: ignse@nse.co.in

MCX

Tel. No.: 022 - 6731 8888
Investor Services Email ID: grievance@mcxindia.com

CDSL

Tel.: 1800-22-5533
E-mail ID: complaints@cdslindia.com

You can also lodge your grievances with SEBI at <http://scores.gov.in>. For any queries, feedback or assistance, please contact SEBI office or toll-free Helpline at 1800-22-7575 / 1800-266-7575