|  |  |
| --- | --- |
| **UN-REGISTERED TRUST** | |
| **Checklist for Opening Un-Registered Trust Trading/Demat Account**  Important Note:-  A) Trading account will be opened in the name of the Trust, and the Demat account will be opened in the name of the individual Trustee’s. Therefore, please fill out two separate KYC forms for the Trading and Demat account openings.  B) The Trading account opening KYC form should be signed with the firm stamp, while the Demat account opening form should be signed without a stamp. | |
| 1. | Copy of **“Board Resolution”** on letter head of the Trust for opening trading/demat account duly certified by the Managing Trustee / Trustee(s) **( Format attached, Refer Page no.2 )** |
| 2. | **“List of Authorized Trustee(s)”** on letter head of the Trust duly certified by Managing Trustee / Trustee(s)  **( Format attached, Refer Page no.3 )** |
| 3. | **“List of All Trustee”** on letter head of the Trust duly certified by Managing Trustee / Trustee(s)  **( Format attached, Refer Page no.4 )** |
| 4. | **“PAN card Copy”** of the Trust - duly attested by the Authorized Trustee(s) with stamp |
| 5. | **“Proof of Address”** of the Trust duly attested by the Authorized Trustee(s) with stamp  **(Any One of the following)**   * Latest Bank Statement / Passbook with latest transaction details (Should not more than 3 month old) * Electricity bill (Should not more than 2 months old) * MTNL/BSNL landline telephone bill (Should not more than 2 months old) * Proof of address issued by Statutory / Regulatory Authorities / Central-State Govt. |
| 6. | Duly self-attested Copy of **“PAN card & Aadhaar Card”** of All Trustee(s) |
| 7. | **“Proof of Bank Account”** of the Trust - duly attested by the Authorized Trustee(s) with stamp  **(Any One of the following)**   * Original Cancelled Cheque - If the Trust name is not pre-printed on cheque leaf than please provide the latest bank statement/passbook in order to cross bank account details. * Latest Bank Account Statement / Passbook with latest transactions – Should not more than 3 months old |
| 8. | Copy of the **“Balance Sheet & P&L”** for the last **“Two Financial Years”** of the Trust - duly attested by the Authorized Trustee(s) with stamp  **Note:-**  **a)** If the Trust is newly formed than latest original CA Certified net worth certificate to be provided on letter head of the Chartered Accountant (CA). |
| 9. | **“Trust Deed”** - duly attested by the Authorized Trustee(s) with stamp |

*(On Letter Head of the Trust)*

**BOARD RESOLUTION**

**CERTIFIED TRUE COPY OF THE RESOLUTION PASSED AT THE MEETING OF THE BOARD OF TRUSTEE’S OF M/s. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ HAVING ITS REGISTERED OFFICE AT \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ HELD ON \_\_\_\_\_\_\_\_ DAY \_\_\_\_\_ OF 20 \_\_\_\_\_ AT \_\_\_\_\_\_\_\_\_\_\_**

**RESOLVED** that the Trust do agree to open a trading and / or demat account with **M/s. Profitmart Securities Private Limited.** Member of the National Stock Exchange of India Ltd. (NSE), Bombay Stock Exchange of (India) Ltd (BSE), Multi Commodity Exchange of India Ltd (MCX) and Depository Participant with Central Depository Services (India) Limited (CDSL) for the purpose of dealing in Capital Market/Cash segment/Futures & Options /Currency Derivatives segment, Commodity Segment or any other segment that may be introduced at a later stage by NSE/BSE/MCX/CDSL, or any other segments, Exchange, or Depositories and Repositories and their participant in future”.

**RESOLVED** that the said Trustee(s) be and is/are hereby authorized to honour instructions, oral/written or electronic, given on behalf of the Trust by any of the under noted authorized signatories:-

|  |  |  |  |
| --- | --- | --- | --- |
| Sr. No. | Name of Authorized Person | Designation | Specimen Signature |
| 1. |  |  |  |
| 2. |  |  |  |
| 3. |  |  |  |

Who is/are **Singly/Jointly/Severally** authorized to sell, purchase, endorse, transfer, negotiate and/or otherwise deal in securities and commodities through M/s. Profitmart Securities Private Limited, on behalf of the Trust.

**RESOLVED FURTHER THAT** Mr./Mrs. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Trustee, and/or Mr./Mrs \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Trustee and/or Mr./Mrs. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Trustee of the Trust be and hereby **Singly/Jointly/severally** authorized to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as may be deemed necessary or expedient to open and close account and give effect to this resolution.

**AND RESOLVED FURTHER THAT,** the common seal of the Trust is affixed, wherever necessary, in the presence of any Trustees or of any one Trust, who shall sign the same in token of the presence.

**//CERTIFIED TRUE COPY//**

**For, M/s. ……………………………………... .**

**Mr./Mrs.\_\_\_\_\_\_\_\_\_\_\_\_ Mr./Mrs.\_\_\_\_\_\_\_\_\_\_\_\_**

**Managing Trustee / Trustee Trustee**

*(On Letter Head of the Trust)*

**Date :**

To,  
Profitmart Securities Pvt Ltd

Unit No 213. Opal Square,

Plot No C-1, Thane – East,

Thane, Mumbai - 400604

**LIST OF AUTHORIZED TRUSTEE**

|  |  |  |  |
| --- | --- | --- | --- |
| SR.  NO. | NAME OF AUTHORIZED TRUSTEE | COLOR PHOTOGRAPH | SIGNATURE WITH TRUST STAMP |
| 1 |  |  |  |
| 2 |  |  |  |
| 3 |  |  |  |

**For, M/s. ……………………………………... .**

**Mr./Mrs.\_\_\_\_\_\_\_\_\_\_\_\_ Mr./Mrs.\_\_\_\_\_\_\_\_\_\_\_\_**

**Managing Trustee / Trustee Trustee**

*(On Letter Head of the Trust)*

**Date :**

To,  
Profitmart Securities Pvt Ltd

Unit No 213. Opal Square,

Plot No C-1, Thane – East,

Thane, Mumbai - 400604

**LIST OF ALL TRUSTEE’S**

|  |  |  |  |
| --- | --- | --- | --- |
| SR.  NO. | NAME OF THE TRUSTEE’S | PAN NUMBER | DESIGNATION |
| 1 |  |  |  |
| 2 |  |  |  |
| 3 |  |  |  |
| 4 |  |  |  |
| 5 |  |  |  |
| 6 |  |  |  |
| 7 |  |  |  |
| 8 |  |  |  |
| 9 |  |  |  |

**For, M/s. ……………………………………... .**

**Mr./Mrs.\_\_\_\_\_\_\_\_\_\_\_\_ Mr./Mrs.\_\_\_\_\_\_\_\_\_\_\_\_**

**Managing Trustee / Trustee Trustee/**